

## Day 1 Monday October 8, 2018

### Registration & Check In Opens

🕒 3:00 pm - 7:00 pm

### Welcome Reception

🕒 4:30 - 7:00 pm

📍 Omni Foyer

## Day 2 Tuesday October 9, 2018

### Breakfast

🕒 7:30 am - 8:30 am

📍 Solutions Showcase

### Opening Keynote

🕒 8:30 am - 9:15 am

📍 Main Ballroom

#### Ethics In Action

*Patrick Quinlan, CEO, Convercent*

Global organizations are realizing that becoming more ethical directly impacts their bottom line. While they realize that driving ethics to the center of their business is the goal, few understand how to operationalize ethics on a daily basis with their employees. In this keynote Patrick Quinlan will discuss the global business climate and how innovation is providing new insights and opportunities for companies to improve their ethical performance and their bottom line.

### General Session

🕒 9:15 am - 10:00 am

📍 Main Ballroom

#### CEO As Activist: Whose Role is it Anyway?

*Panelists: Jay Fulcher, CEO, Zenefits, Walter Rakowich former Pro Logis CEO, Jewell Hoover, AARP*

*Moderated by: Vanessa Fuhrmans, Journalist, Wall Street Journal*

For centuries people have looked to institutions for guidance on social and ethical issues. Institutions like churches and governments have been the mainstay for providing a true north for society. Lately there has been a vacuum of institutional ethical leadership and a new entrant filling this gap is the ethical corporation.

Consumers today are looking for the companies they buy from to align to their own ethical values. And they are looking to CEO's to respond to social injustices and ethical lapses in society. What is the CEO's role in "taking sides" on societal issues like gun control, equal rights, and other ethical social issues? Should they? This panel will explore the opinions and beliefs of leading CEO's, and highlight specific programs that companies are doing (or not) to make this happen.

### Networking Break

🕒 10:00 am - 10:30 am

📍 Solutions Showcase

### General Session

🕒 10:30 am - 11:15 am

📍 Main Ballroom

#### Driving Ethics In Action In A Global Organization

*Sharon Gebhard, Global Business Integrity Director, Unilever*

Creating compliance and ethics programs that are inclusive of all employees around the globe is a constant challenge for multi-national companies. Effective programs cannot be a one-size-fits all, but rather must be tailored for the varying cultures of different countries.

Sharon Gebhard, the global business integrity director of Unilever, will share her insights and experience in creating Unilever's worldwide ethics and compliance program. Ms. Gebhard travels the globe to drive awareness and deploy effective compliance and ethics programs.

Unilever is one of the world's leading suppliers of Personal Care, Food & Refreshment and Home Care products with sales in over 190 countries and reaching 2.5 billion consumers a day. Unilever employs approximately 8,000 people in the United States - generating more than \$9 billion in sales in 2017.

### Inspirational Speaker

🕒 11:15 am - 12:00 pm

*Chuck D, American rapper, author, and producer*

Hear the founding member of rap group, Public Enemy share his inspiring and provocative take on the current ethical transformation. Chuck D has been speaking out politically and socially since the mid 80's. During this fireside chat, Chuck D. will focus on organizational justice from the street of Queens, to the Music Industry and to Corporate America today. He continues to be a respected voice with candid and forthright opinions, challenging his audiences to look at the world in a new way, while demanding change for the better. Chuck D has used his voice to testify before Congress, write two critically acclaimed books, and sits on the board of the Trans Africa Forum.

### Lunch & Networking

🕒 12:00 - 1:00 pm

### Breakout Sessions

🕒 1:00 pm - 1:50 pm

KEY	◆ Ethics in Action
	▲ Convercent Innovation
	○ CECO at the Center

Attend One

◆ **Psychology of Human Behavior** 🔍  
*Kellye Gordon, Global Ethics and Compliance Leader, VF Corporation*

◆ **Measuring Ethics & Compliance: Process vs. Outcome** 🔍  
*Hui Chen, Ethics and Compliance Advocate at HC Ethics*

▲ **Convercent Insights: Unleash the Power of Data and Change the Way you Measure Ethics & Compliance** 🔍  
*Phil Knight, Convercent Product Manager*  
*Bill Brierty, Vice President, Head of Compliance & Ethics at Liberty Latin America*

▲ **Convercent Helpline: Harnessing Technology and Branding to Bring Your Speak-Up Culture to Life** 🔍  
*Autumn Sanelli, Convercent Global Director Solution Consulting*  
*Alexandre Anselmi, HR Project Manager, Sodexo*

○ **What did you Mean by That - Overcoming Communication Barriers** 🔍  
*Jacki Cheslow, Director Business Ethics & Compliance, Avis Budget Group*  
*Susan du Becker, Global Compliance Enablement, Cisco Systems*

○ **Connecting Compliance to Business and Organizational Culture** 🔍  
*John Arendes, Vice President and GM, Global Compliance Solutions, Skillsoft*  
*Norman Ford, Vice President, Compliance Products, Skillsoft*

### Breakout Sessions

🕒 2:00 pm - 2:50 pm

Attend One

◆ **Lessons Learned Uncovering Perverse Incentives** 🔍  
*Eric Feldman, Sr. Vice President, Affiliated Monitors*

◆ **KPIs that Drive Ethics: Discussion** 🔍  
*Tom Fox, Principal at Advanced Compliance Solutions*  
*Michael Volkov, CEO and founder of The Volkov Law Group*

▲ **Convercent Disclosures: Managing COI Risk in a Gig Economy** 🔍  
*David Bunker, Compliance Officer at Vulcan*  
*Yelena Kuskin, Convercent Product Manager*

▲ **Convercent Case Management: Uncovering the Root Cause of your Employee's Behavior** 🔍  
*Autumn Sanelli, Convercent Global Director Solution Consulting*  
*Ian Hornby, Associate General Counsel Litigation & Compliance, Venator*

## Day 2 (continued) Tuesday October 9, 2018

### Networking Break

🕒 3:00 pm - 3:30 pm  
📍 Solutions Showcase

### Roundtables

🕒 3:30 pm - 4:30 pm

Attend One

#### The Critical Role for CECOs during M&A

*April Oliver, Vice President and Associate General Counsel at Salesforce*

Salesforce completed 15 acquisitions in 2017. Find out why CECOs are so critical to realizing any M&A vision. In this session you will hear a best practice guide of CEO roles and responsibilities during M&A due diligence and integration for overall success.

#### The Right KPIs

*Tom Fox, Principal at Advanced Compliance Solutions*  
*Michael Volkov, CEO and founder of The Volkov Law Group*

What are Key Performance Indicators (KPIs) which drive compliance and ethics into the very DNA of an organization? How do these KPIs make your company more efficient and at the end of the day more profitable? How can the use of KPIs not only improve your ethical culture but answer DOJ inquiries if the government comes knocking? Find out the answer to these and other questions around KPIs in this interactive roundtable discussion.

#### Disruptive Compliance

*Joe Spiegler, Compliance Consultant, formerly Global Head of Compliance*

Startups and High tech companies are fast-paced, powder kegs of energy with pressure and competing priorities in their pursuit to gain market share and win in the innovation space. A two-time CCO at Uber Technologies and Baxter International, the speaker will provide practical, actionable recommendations for scaling compliance at start-ups and early stage companies by leveraging technology to make compliance easier and faster.

#### The Data Project- Join Me in the Trenches

*Sheryl Zaworski, VP, Escalation, Investigations and Analytics Director at U.S. Bank*

#metoo challenged ethics and compliance programs to change the way they communicate with the C-suite and board about the health of a company's culture. See how U.S. Bank developed a dashboard aimed at delivering actionable insights, and learn how the company uses data analytics to monitor potential retaliation activity. Discuss with your peers how you're adapting to evolving reporting demands and producing data-driven deliverables.

#### Learn From My Mistakes, Fits and Starts When Building a New C&E Program

*Amy Much, Former Ethics & Compliance Officer, Under Armour*

Congratulations! You get to build a new ethics & compliance program! Learn from Under Armour's experience in the trials and tribulations of building a program from the ground up. Gain insight on tips and tricks, how to build meaningful relationships in a highly matrixed environment, and the pitfalls to avoid on your way from the ground to best in class.

#### Putting Ethics into Action - A View from the Frontlines

*Beth Colling, VP, Chief Compliance Officer, CDM Smith*

CDM Smith has had a culture driven by its values for its 75-year history. So how did this employee-owned company, whose mission is to provide global infrastructure solutions - especially in developing nations, find itself across the table from the Department of Justice facing possible FCPA violations in 2015? This presentation focuses on the company's realization that its ethical values had taken a hit at the expense of expansion and what the company did to re-integrate integrity into its business model. The presenter will provide real-life examples of the crisis that occurred and the specific steps taken to close the gap between management expectations and organizational behavior

### Solutions Showcase

🕒 4:30 pm - 6:00 pm

### Dinner

🕒 6:00 pm  
📍 Pavillion

## Day 3 Wednesday October 10, 2018

### Breakfast

🕒 7:30 am - 8:30 am

### Opening Keynote

🕒 8:30 am - 9:30 am

#### Convercent Innovation

*Philip Winterburn, Chief Product Officer, Convercent*  
*Ronnie Kann, Director, Global Ethics and Compliance, Kimberly-Clark*

Phillip will be unveiling Convercent's newest innovations to help companies make ethics-in-action a reality for Convercent's customer worldwide.

A key focus of this keynote will be on leveraging data to get more valuable insights into the ethical health of your company. Philip will illustrate how companies can advance from simple monitoring of activities (e.g. investigations and helpline calls) to conducting strategic analysis leveraging data science and Convercent's Ethics Cloud applications. Through data science customers will be able to gain a new perspective on their programs by leveraging data within the Convercent application, third party industry data, and outside data from social channels.

### General Session

🕒 9:30 am - 10:15 am

#### Ethical Decision Making using Data Analytics & System Design to Power the Business

*Mary Katherine Brink, VP & Associate General Counsel, Philip Morris International*

### Networking Break

🕒 10:15 am - 10:45 am

### General Session

🕒 10:45 am - 11:30 am

#### The Future Computed: Artificial Intelligence and its Role in Society

*Microsoft*

Some believe that Artificial Intelligence is the new electricity. Learn about how organizations are digitally transforming themselves, and the key ethical principles that are essential as artificial intelligence is now becoming main stream.

### General Session

🕒 11:30 am - 12:15 pm

#### Program Quality and Business Performance

*Patricia Harned, CEO, ECI*

New research by the Ethics & Compliance Initiative (ECI) shows that when it comes to the culture and conduct of an organization, an ethics & compliance program makes a difference. But even more importantly, the *quality* of the E&C program matters, too. In this session, ECI's CEO Pat Harned will share the results of ECI's research and also discuss the continuum of program quality. She will also present some of the important components of program maturity.

### Lunch & Networking

🕒 12:15 pm - 1:00 pm

## Day 3 (continued) Wednesday October 10, 2018

### Breakout Sessions

🕒 1:00 pm - 1:50 pm

- KEY**
- ◆ Ethics in Action
  - ▲ Convercent Innovation
  - CECO at the Center

Attend One

- ◆ **Compliance to Ethics Maturity Model: Where are You?** 2  
*Michael Rasmussen, GRC Economist & Pundit at GRC 20/20*
- ◆ **Modern Family: Aligning Functions for Effective Internal Controls to Address Compliance and Fraud Risks** 2  
*Russ Berland, Former Chief Compliance Officer at Dematic  
Sheila Stark, Director Internal Audit Strategy at KPMG*
- ▲ **Convercent Insights: Unveiling Benchmarking, How do you Measure Up?** 2  
*Phil Knight, Product Manager at Convercent  
(Available at 1 or 2 PM)*
- ▲ **Digital Transformation in the Cloud: What Enterprise Leaders and Their Legal & Compliance Advisors Need to Know** 2  
*Alan Gibson, Assistant General Counsel, Office of Legal Compliance at Microsoft*
- **From Compliance to Prevention: Building an Effective Anti-Harassment Strategy** 2  
*Elizabeth Bille, JD, Senior Director, Harassment Prevention at EVERFI*
- **A Fresh Look at your Code of Culture** 2  
*Cheryl Forino Wahl, Sr. Vice President/Chief Ethics and Compliance Officer, The MetroHealth System*

### Breakout Sessions

🕒 2:00 pm - 2:50 pm

Attend One

- ◆ **The Culture Imperative: Building a Positive, Collaborative Place to Work, Why the Best Culture Comes From Engaging Your Team** 2  
*Melinda Stinnett, Managing Director, Stinnett & Associates*
- ◆ **Be a Silo Buster! How to Champion Ethics Enterprise-wide** 2  
*Keturah Pestel, Code of Conduct Program Manager at Thrivent*
- ▲ **Convercent Insights: Unveiling Benchmarking, How do you Measure Up?** 2  
*Phil Knight, Product Manager at Convercent  
(Available at 1 or 2 PM)*
- ▲ **Convercent Interactive Code of Conduct is a Gateway to Understanding Employee Behavior** 1  
*Ronnie Kann, Global Ethics and Compliance, Kimberly-Clark  
Stephanie Holmes, Solutions Consultant at Convercent*
- **Building an Ecosystem of Authentic, Ethical Behavior** 2  
*Christopher McClean, VP, Research Director, Forrester Research*
- **Your Journey to Serving on a Board** 2  
*Ellen Hunt, Chief Audit Executive at AARP*

### Networking Break

🕒 3:00 pm - 3:30 pm

📍 Solutions Showcase

### Roundtables

🕒 3:30 pm - 4:30 pm

Attend One

#### Your Board Relationship

*David Deitchman, Former Chief Ethics & Compliance Officer and Global Head of Employment Law, HP Inc.*

What should you be talking about with your Board? In this roundtable session we'll have a discussion about how to build an effective relationship with the Board, the Board's role in your program, ethics and compliance training for the Board, and what data the Board should see. This interactive roundtable discussion will give you the opportunity to discuss how to build and maintain an effective relationship with your Board.

#### Scaling a Best in Class Program

*Steph Vogel, Deputy Chief Compliance Officer & Assistant General Counsel, National Basketball Association*

Companies come in all shapes and sizes, and their ethics & compliance needs vary as well. You don't have to have a huge global organization and seemingly limitless budget to build a best in class ethics & compliance program. In this interactive roundtable discussion learn from the NBA and your peers on how to scale your E&C program to achieve best practice.

#### Improv & Ethics: Sharing our Truth

*Nick Trotter, Improv Entertainer*

Businesses are increasingly using improvisation training for team-building and any tasks that requires creativity. But how can improv apply to ethics? It isn't always about comedy or performance; it's about speaking simple truths in the here-and-now. In this hands-on workshop, we'll show that thinking on your feet just means trusting your own intelligence, and that doing the right thing actually comes very naturally. Making ethical choices is easier when we experience the truth and trust our guts, and this is another way that improv can help develop the culture of a business.

#### Change Management

*Carole Switzer, President at OCEG*

One of the biggest hurdles in implementing a compliance change into your organization is change management; aligning internal partners, raising awareness and overcoming fear of change. In this interactive dialogue, explore innovative ways to introduce cultural change into your organization.

#### Digital Transformation Discussion & Best Practices

*Alan Gibson, Assistant General Counsel at Microsoft  
Sean Torcasi, Partner at PwC*

As we have entered the "Fourth Industrial Revolution," Cloud Computing has become a mature technology that enables all organizations to achieve more. However, in these uncertain times, organizations will only use technology they can truly trust. In this session learn about the importance of data privacy, data security, compliance and transparency as your organization uses cloud computing solutions to digitally transform.